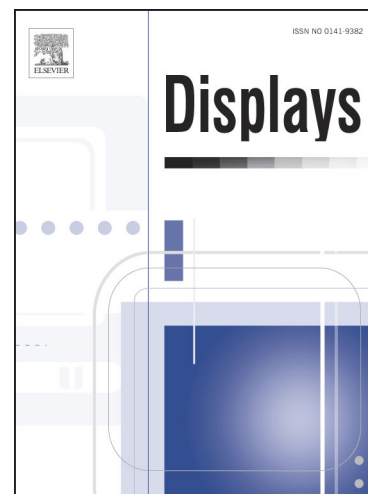


## Journal Pre-proofs

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## **LAIU-Net: A learning-to-augment incorporated robust U-Net for depressed humans' tongue segmentation**

**Mahmoud Marhamati<sup>1</sup>, Ali Asghar Latifi Zadeh<sup>2</sup>, Masoud Mojdehi Fard<sup>3</sup>, Mohammad Arafat Hussain<sup>4</sup>, Khalegh Jafarnezhad<sup>1</sup>, Ahad Jafarnezhad<sup>1</sup>, Mehdi Bakhtoor<sup>5</sup>, Mohammad Momeny<sup>2\*</sup>**

<sup>1</sup>Esfarayen Faculty of Medical Science, Esfarayen, Iran

<sup>2</sup>Yazd University, Yazd, Iran

<sup>3</sup>Psychosomatic research center, Imam Khomeini Hospital, Tehran University of Medical Sciences, Tehran, Iran

<sup>4</sup>Boston Children's Hospital, Boston, MA 02115

<sup>5</sup>Islamic Azad University, Shirvan, Iran

\*Email of Corresponding Author:

[mohamad.momeny@gmail.com](mailto:mohamad.momeny@gmail.com) (M. Momeny)

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**Mahmoud Marhamati<sup>1</sup>, Ali Asghar Latifi Zadeh<sup>2</sup>, Mohammad Arafat Hussain<sup>3</sup>, Khalegh Jafarnezhad<sup>1</sup>, Ahad Jafarnezhad<sup>1</sup>, Masoud Mojdehi Fard<sup>1</sup>, Mohammad Momeny<sup>2\*</sup>**

<sup>1</sup>Esfarayen Faculty of Medical Science, Esfarayen, Iran

<sup>2</sup>Yazd University, Yazd, Iran

<sup>3</sup>Boston Children's Hospital, Boston, MA 02115

<sup>4</sup>Islamic Azad University, Shirvan, Iran

\*Email of Corresponding Author:

[mohamad.momeny@gmail.com](mailto:mohamad.momeny@gmail.com) (M. Momeny)

## Abstract

Computer-aided tongue diagnosis system requires segmentation of the tongue body. The frequent movement of the tongue due to its natural flexibility often causes shape variability in photographs across subjects, which makes segmenting the tongue challenging from non-tongue elements, such as the lips, teeth, and other objects in the background of the tongue. The flexibility of the tongue causes a further challenge in maintaining a similar shape and style when taking photos of many healthy subjects and patients. To address these challenges, we have built a tongue dataset, where the tongue of each subject has been scanned thrice with an interval of less than a second. We have collected 333 tongue images from 111 depressed humans, who have been diagnosed with depression by a psychiatrist. In addition, in this paper, we propose a learning-to-augment incorporated U-Net (LAIU-Net) for the segmentation of the depressed human tongue in photographic images. The best policies for data augmentation were automatically chosen with the proposed LAIU-Net. For this purpose, we corrupted photographic tongue images with the Gaussian, speckle, and Poisson noise. The proposed approach addresses the overfitting problem as well as increases the generalizability of a deep network. We have compared the performance of the proposed LAIU-Net with that of other state-of-the-art U-Net configurations. Our LAIU-Net approach achieved a mean boundary F1 score of 93.1%.

**Keywords:** Tongue segmentation, learning-to-augment strategy, data augmentation, deep learning, U-Net.

## 1. Introduction

### 1.1. *Tongue Diagnosis*

Tongue body segmentation in photographic images is one of the major steps in the computer-aided complete tongue diagnosis system [1]. Previous studies indicated a link between a human tongue and health conditions (e.g., [2]), and diagnosis via examining a tongue has been a common practice worldwide due to its non-painful examination feasibility and obstruction-less accessibility. Human tongue examination has been considered an essential practice to get an insight into the human physiological and pathological conditions in traditional and alternative Chinese medicine, as traditional Asian medicines, are often based on holistic concepts [3]. However, the level of accuracy in tongue examination-based diagnosis varies depending on the experience of clinicians. To improve diagnostic accuracy, clinicians often use other diagnosis approaches (e.g., palpation) in addition to tongue observation. Recently, photographic image-based tongue diagnosis using image processing techniques has been used to address the

limitations of the manual tongue-examination approach [4-9]. Chiu proposed a computerized tongue examination system (CTES) based on chromatic and textural analysis strategy [10]. Zhang et al. proposed a Bayesian network-based computer-aided tongue diagnosis system (CATDS) to show the relationship between diseases and tongue-based quantitative attributes [11].

## **1.2. Tongue Segmentation**

The tongue apex (i.e., the front part of the tongue) is very flexible and its movements during photo shooting cause variability in tongue shapes across subjects. This variability causes challenges in segmenting the tongue in the photographic images from non-tongue elements (i.e., lips, teeth, soft and hard palates, etc.) [12]. Segmenting the tongue from the background is essential for tongue-specific feature extraction in a computer-aided tongue diagnosis system. Therefore, the tongue segmentation procedure must be robust across all patients. Typically, photographic images of tongues are captured in color and many traditional image processing-based tongue segmentation approaches used the sequential application of low-level color pixel processing. For example, combined region and edge-based [13], combined region and intensity thresholding-based [13], bi-elliptical deformable contour-based [12], a combination of polar-edge detection and active contour-based [14], color active contour-based [15, 16], color control-geometric and gradient flow snake-based [17], polar edge detection via snake-based [18], combined mean shift algorithm and Canny edge detector-based [19], combined gradient flow and region merging-based [20], threshold control function-based [21, 22], double geodesic flow-based [23], double geo-vector flow-based [24], combined tongue shape and snake correction model-based [25], combined 2D Gabor filter and fast marching-based [26], and adaptive active contour-based [27] tongue segmentation approaches have been proposed in the literature. However, these conventional model-based approaches have various limitations, such as requiring user interaction, sensitivity to parameter settings, etc. [28].

To overcome the limitations of conventional model-based segmentation approaches, supervised learning has been extensively used for medical image segmentation in recent years. Supervised learning-based tongue body segmentation approaches are also reported using support vector machine [29], AdaBoost algorithm [30], cascaded convolutional neural networks (CNN) [31], ResNet [32], SegNet [33], fully convolutional network [34, 35], U-Net [36-38], iterative transfer learning [39], feature pyramid network [40], and patch-driven sparse representation [41]. These supervised learning approaches showed promising tongue

segmentation performance by emphasizing producing more accurate segmentation. However, mostly ignored improving the model generalizability aspect of deep models [42-50].

### **1.3. Proposed model**

Our proposed study focuses on improving the generalizability of a supervised deep learning model for tongue segmentation as well as increasing a deep model's robustness to noise. Our approach optimizes parameters of different types of noises via learning to generate diverse augmented data, which in turn improves the generalizability of a deep segmentation model. The contributions of this paper are summarized as follows:

1. To our knowledge, we propose the first learning-to-augment strategy- [51, 52] incorporated robust and generalized deep neural network (i.e., U-Net) for photographic image segmentation. This learning-to-augment strategy follows a computation pipeline, which is well optimized and showed great promise in the classification tasks [51, 52].
2. Our learning-to-augment strategy uses the Bayesian optimizer to choose the best set of policies for data augmentation by selecting the optimized Gaussian, speckle, and Poisson noise parameters.
3. We show the efficacy of our proposed learning-to-augment strategy-incorporated U-Net on the depressed human's tongue segmentation task and demonstrated the best performance compared to other segmentation approaches.
4. We build a photographic tongue dataset consisting of 333 human tongue images, captured from 111 patients diagnosed with depression. Three images were captured in succession from each patient with an interval of less than a second.

## **2. Materials and Methods**

### **2.1. Depressed Humans' Tongue Dataset**

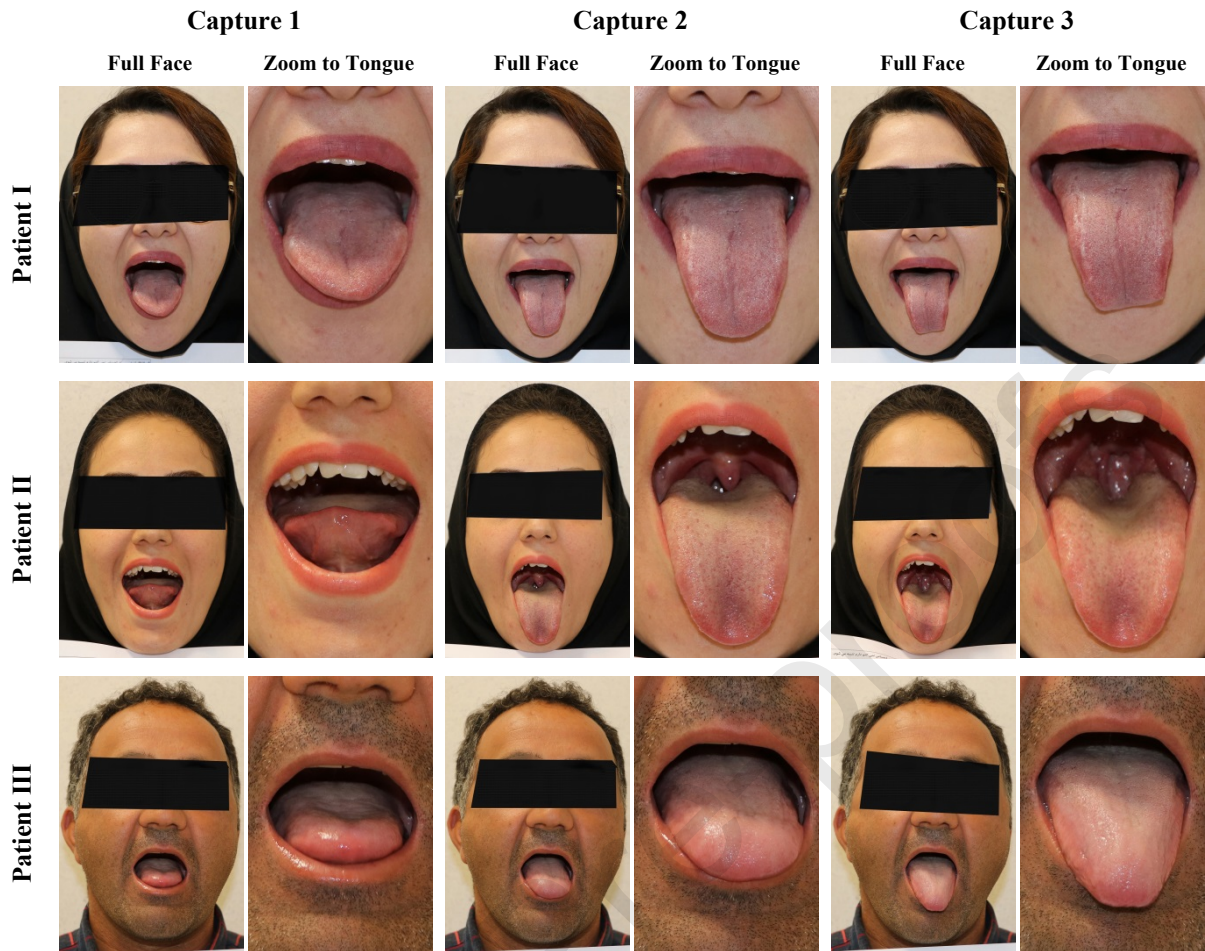
We have collected 333 photographs of the 111 depressed humans' tongues (Aged: 18-60 years, Male: 53, and Female: 58; 3 photos from each patient). Apart from the fact that tongue images are collected from different patients or healthy subjects, many other factors (e.g., the illumination of the photo studio, the color of the food eaten just before the photography, etc.) are responsible for causing substantial variability in the tongue photographs. To take the advantage of these factors to ensure diversity in our dataset, we avoided direct sunlight and took photos of all the patients inside a room using a typical camera flash. We used Canon EOS 80D Digital Camera with an 18-55mm IS STM lens. We set the image resolution to 6000×4000×3 pixels. Table 1 summarizes the camera specification and imaging settings.

Ground truth tongue masks were generated by manual segmentation using the Lasso tools (i.e., standard, polygonal, and magnetic) of Photoshop CC 2019 (Adobe Inc., San Jose, CA)

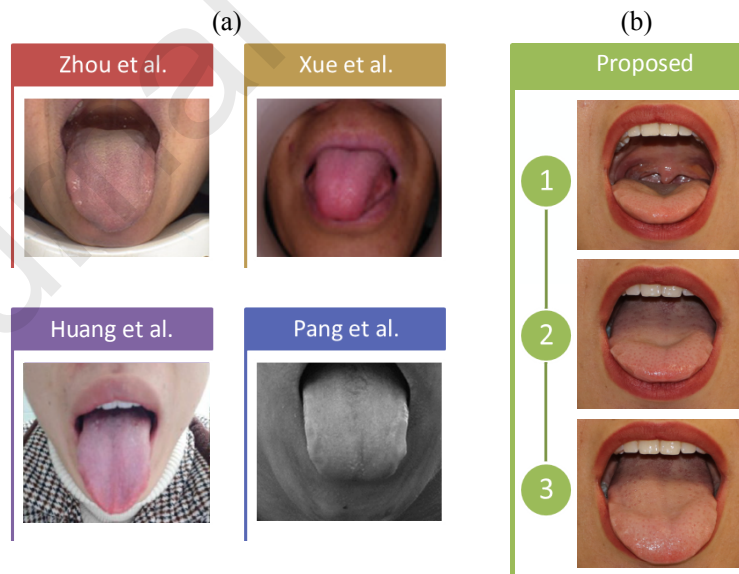
**Table 1.** The camera specifications and photography settings

Property	Value	Property	Value
Camera maker	Canon	Dimensions	6000×4000 pixels
Camera model	Canon EOS 80D	Horizontal resolution	72 dpi
F-stop	f/5	Vertical resolution	72 dpi
Exposure time	1/60 sec.	Bit depth	24
ISO speed	ISO-200	Resolution unit	2
Exposure bias	0 step	Color representation	sRGB
Focal length	50mm	Exposure program	Normal
Metering mode	Pattern	EXIF version	0230
Flash mode	Flash, compulsory	White balance	Auto

The tongue apex (i.e., the frontal part of a human tongue) is a very flexible and mobile part of this organ. The tongue apex is followed by the body of the tongue, which is formed by the intrinsic muscles consisting of superior and inferior longitudinal lingual muscles, vertical lingual muscles, and transverse lingual muscles. The body of the tongue is followed by the tongue muscles (also known as extrinsic muscles) with bony insertions. The extrinsic muscles include the genioglossus, the hyoglossus, the styloglossus, and the palatoglossus. The contraction of the geniohyoid against the hyoid bone causes the protrusion of the tongue. The synergy between the intrinsic and extrinsic muscles causes flexibility and mobility of the tongue [53]. We show images of three faces with tongues out of the oral cavity (i.e., out of the mouth) in Figure 1. We can see in this figure that there is substantial variability in the shape of the tongue among these patients. Due to the extensive flexibility of the tongue, maintaining a similar shape of the tongue in photos across patients is difficult. That is why we took three photos of the same tongue in succession with an interval of less than a second. Figure 2 compares our proposed tongue dataset attribute to that of the datasets by Xue et al. [34], Pang et al. [54], Zhou et al. [36], and Huang et al. [37]. We see in this figure that, unlike other datasets, our dataset contains three successive tongue images per patient that in turn allows capturing different shapes of a particular tongue. Photos of the tongue of depressed patients are captured in the psychiatrist's office once a subject is diagnosed with depression. A clinical ethics board of the Islamic Republic of Iran [55] approved this data collection. We also collected informed consent from patients before capturing their tongue photos.



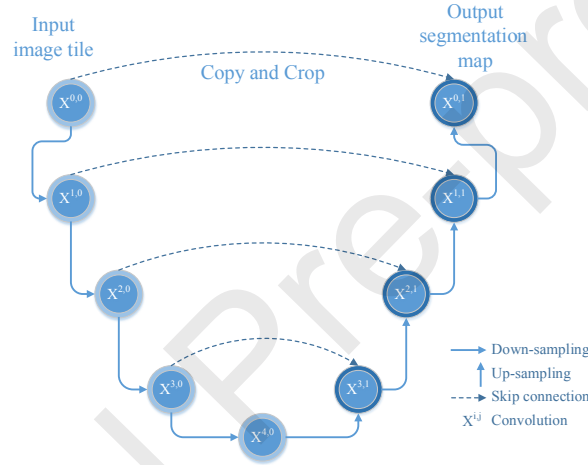
**Figure 1:** Example tongue photos in our dataset.



**Figure 2:** Comparison of human tongue imaging. (a) Only a single photo was taken per subject in existing tongue datasets. (b) Three tongue photos are captured in succession for each subject in the proposed dataset.

## 2.2. U-Net

U-Net [56] is a widely used deep neural network for medical image segmentation, which consists of an encoding path, a decoding path, and the feature map concatenation procedure. Let  $X^{i,j}$  denotes a node in the U-Net architecture, where  $i$  represents the depth, and  $j$  represents either encoder (i.e.,  $j = 0$ ) or decoder (i.e.,  $j = 1$ ) side of the U-Net architecture. The encoder (i.e.,  $X^{i,0}$ ;  $i = [0, 1, 2, 3, 4]$ ) of the U-Net extracts high-level features from input images by using convolution and pooling layers (see Figure 3). On the other hand, the decoding path of the U-Net (i.e.,  $X^{i,1}$ ;  $i = [0, 1, 2, 3]$ ) expands the encoder-learned features sequentially using deconvolution and up-pooling layers and finally produces the segmentation mask. In addition, the encoding feature maps at  $X^{i,0}$  is concatenated to the decoding feature map at  $X^{i,1}$  via skip connections to recover the spatial information effectively.



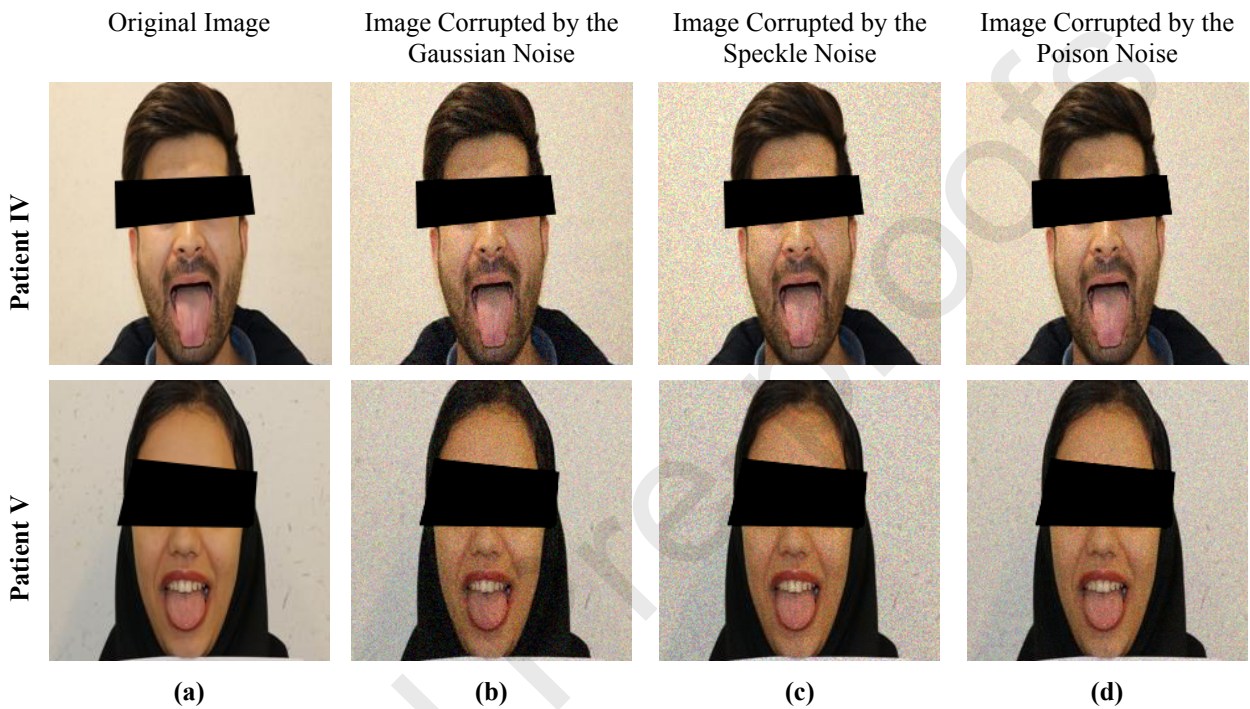
**Figure 3:** The U-Net architecture used in this work.

### 2.3. Learning-to-Augment Strategy

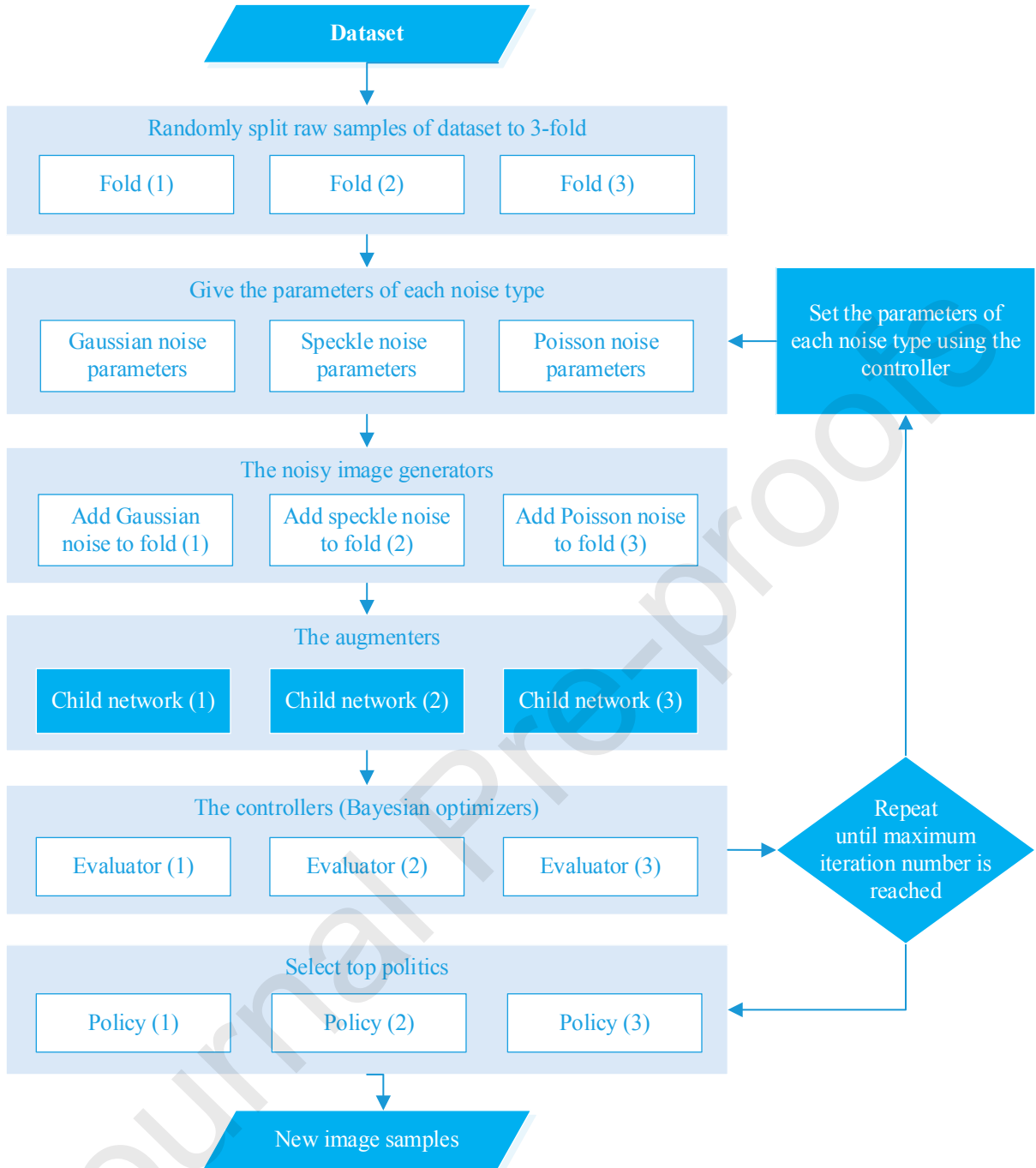
In this paper, we incorporated the learning-to-augment strategy [52, 57] to improve the robustness and generalizability of U-Net. The learning-to-augment strategy uses a noisy image generator, a controller, an augments, and a few child networks. This strategy automatically selects optimal parameters for the Gaussian, speckle, and Poisson noise to generate new augmented images. The mean ( $\mu$ ) and variance ( $\nu$ ) parameters are used for the Gaussian and speckle noise [58-61]. Figure 4 shows a few photographic images corrupted by different noises. We see in Figure 5, the noisy image generator module adds noise to the original photographic images with given noise parameters. In the first iteration, parameters ( $\mu$ ,  $\nu$ ) of the Gaussian, speckle, and Poisson noise are chosen randomly. From the second iteration onwards, the controller module updates these parameters by choosing the optimal policy. Then, the augments module generates new augmented images by adding noise to the original images. Afterward, child networks are trained using newly generated augmented images to assess the



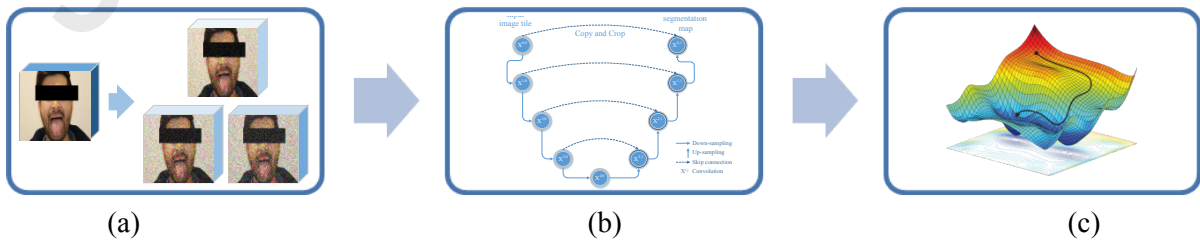
network performance on a given task. A child network is a U-Net-based model trained with an augmentation policy. Finally, the controller (a Bayesian optimizer [62, 63]) replaces weak policies (i.e., noise parameters) with a newer set of stronger augmentation policies by searching the parameter search space. Figure 6 shows how a child network is trained with the new data generated by an augmentation policy.



**Figure 4.** Noisy photographic images corrupted by the Gaussian, speckle, and Poisson noises. (a) Original images, (b)-(d) images corrupted by the Gaussian ( $\mu = 0$ ,  $\nu = 0.003$ ), speckle ( $\nu = 0.01$ ), and Poisson noises, respectively.



**Figure 5.** Flowchart showing our learning-to-augment strategy [51, 52].



**Figure 6:** The U-Net-based child network is trained with the new data generated by an augmentation policy. (a) Generation of noisy images by corrupting original images, (b) a child network to be trained on the new data, and (c) the controller finds the optimal noise parameters.

## 2.4. Proposed LAIU-Net

The proposed LAIU-Net combines the learning-to-augment strategy and the U-Net with a depth of 5 encoder stages (i.e.,  $X^{i,0}; i = [0, 1, 2, 3, 4]$ ) and 4 decoder stages (i.e.,  $X^{i,1}; i = [0, 1, 2, 3]$ ) (see Figure 7). As shown in Figure 7 and Table 2, the U-Net architecture of the proposed LAIU-Net contains 18 convolution layers, 4 transposed convolution, 22 leaky rectified linear unit (ReLU) activation layers, 4 pooling layers, 4 layers of batch normalization, and a Dropout layer. We incorporated the leaky ReLU to improve the performance of the proposed LAIU-Net optimization and segmentation [64]. We also incorporated a Dropout layer in the proposed LAIU-Net to avoid the overfitting problem. The dropout layer randomly drops  $(1 - p)\%$  neurons while keeping  $p\%$  neurons active in a particular layer. Finally, we used batch normalization and some conventional data augmentation methods for stable training of LAIU-Net. Although batch normalization optimizes network training, training may get slower because of the extra calculations of batch normalization during the forward pass. To reduce the computational overhead, we used 4 batch normalization layers at the end of encoder blocks at four different depths (see Figure 7).

## 3. Validation

### 3.1. Statistical Metrics

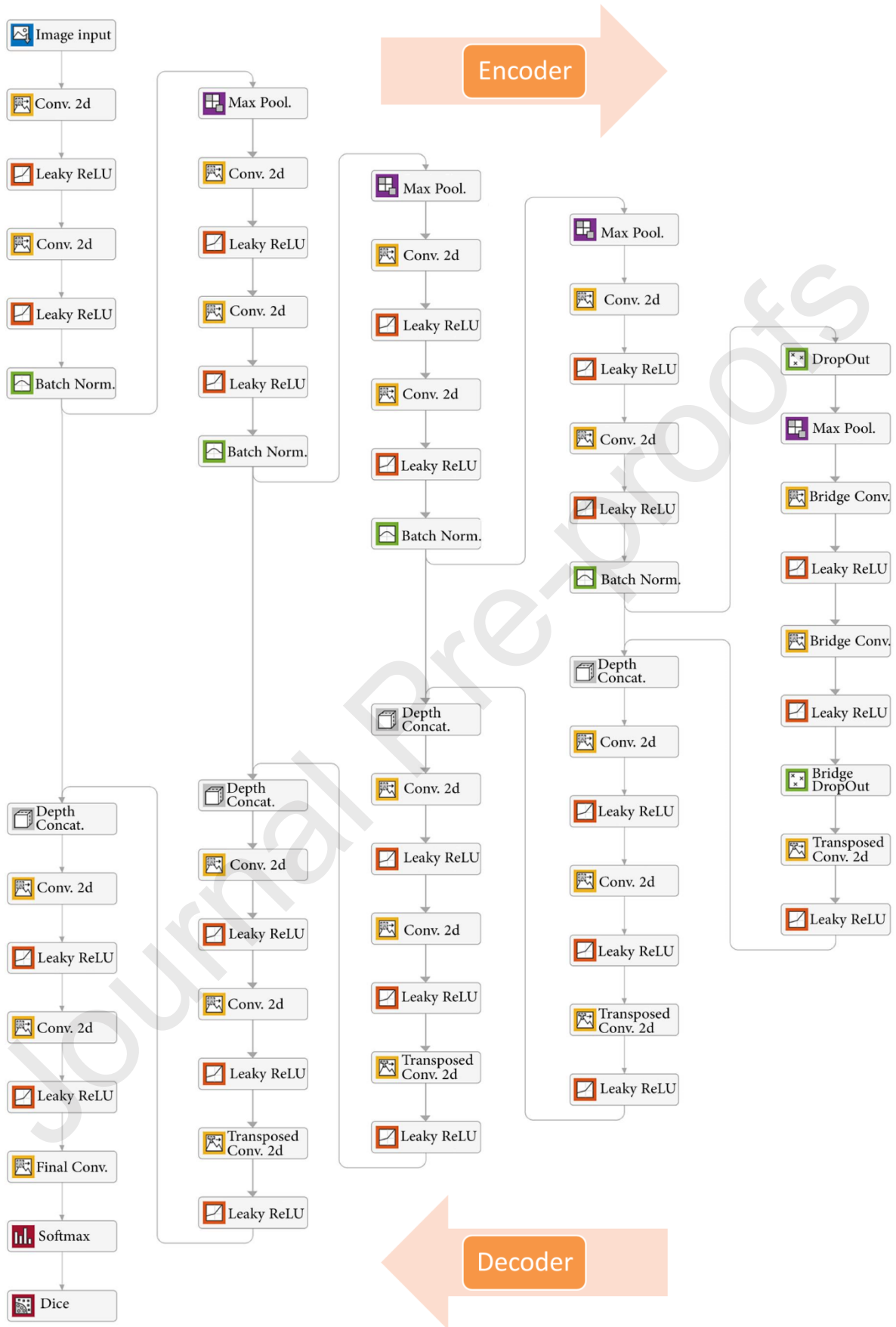
To evaluate the performance of the proposed LAIU-Net and state-of-the-art U-Net with different configurations on the tongue image segmentation, we use two quantitative metrics such as the intersection over union (IoU), and mean boundary F1 Score (BF Score) defined as:

$$\text{IoU} = \frac{\text{TP}}{\text{TP} + \text{FN} + \text{FP}} \quad (2)$$

$$\text{Precision} = \frac{\text{TP}}{\text{TP} + \text{FP}} \quad (3)$$

$$\text{Recall} = \frac{\text{TP}}{\text{TP} + \text{FN}} \quad (4)$$

$$\text{BF Score} = \frac{2 \times \text{Precision} \times \text{Recall}}{\text{Precision} + \text{Recall}} \quad (5)$$



**Figure 7:** The architecture of the improved U-Net used in the proposed LAIU-Net

**Table 2:** Comparison of configurations of the improved U-Net (part of LAIU-Net) and 5 other U-Net architectures. Acronyms- Config: Configuration

#	Layers	Config. I	Config. II	Config. III	Config. IV	Config. V	LAIU-Net	Description
1	Image Input	Original Data	Original Data	Original Data	Original Data	Conventional Data Augmentation	Learning-to-Augment	256×256×3 images with 'zerocenter' normalization
2	Encoder-Stage-1	Conv.	Conv.	Conv.	Conv.	Conv.	Conv.	64 3×3 convolutions stride [1 1] and padding 'same'
3	Activation Fun.	ReLU	ReLU	ReLU	Leaky ReLU	Leaky ReLU	Leaky ReLU	Leaky ReLU with scale 0.01
4	Encoder-Stage-1	Conv.	Conv.	Conv.	Conv.	Conv.	Conv.	64 3×3 convolutions stride [1 1] and padding 'same'
5	Activation Fun.	ReLU	ReLU	ReLU	Leaky ReLU	Leaky ReLU	Leaky ReLU	Leaky ReLU with scale 0.01
6	BN	-	-	BN	BN	BN	BN	Batch normalization
7	Encoder-Stage-1	Pooling	Pooling	Pooling	Pooling	Pooling	Pooling	2×2 max pooling stride [2 2] and padding [0 0 0 0]
8	Encoder-Stage-2	Conv.	Conv.	Conv.	Conv.	Conv.	Conv.	128 3×3 convolutions stride [1 1] and padding 'same'
9	Activation Fun.	ReLU	ReLU	ReLU	Leaky ReLU	Leaky ReLU	Leaky ReLU	Leaky ReLU with scale 0.01
10	Encoder-Stage-2	Conv.	Conv.	Conv.	Conv.	Conv.	Conv.	128 3×3 convolutions stride [1 1] and padding 'same'
11	Activation Fun.	ReLU	ReLU	ReLU	Leaky ReLU	Leaky ReLU	Leaky ReLU	Leaky ReLU with scale 0.01
12	BN	-	-	BN	BN	BN	BN	Batch normalization
13	Encoder-Stage-2	Pooling	Pooling	Pooling	Pooling	Pooling	Pooling	2×2 max pooling stride [2 2] and padding [0 0 0 0]
14	Encoder-Stage-3	Conv.	Conv.	Conv.	Conv.	Conv.	Conv.	256 3×3 convolutions stride [1 1] and padding 'same'
15	Activation Fun.	ReLU	ReLU	ReLU	Leaky ReLU	Leaky ReLU	Leaky ReLU	Leaky ReLU with scale 0.01
16	Encoder-Stage-3	Conv.	Conv.	Conv.	Conv.	Conv.	Conv.	256 3×3 convolutions stride [1 1] and padding 'same'
17	Activation Fun.	ReLU	ReLU	ReLU	Leaky ReLU	Leaky ReLU	Leaky ReLU	Leaky ReLU with scale 0.01
18	BN	-	-	BN	BN	BN	BN	Batch normalization
19	Encoder-Stage-3	Pooling	Pooling	Pooling	Pooling	Pooling	Pooling	2×2 max pooling stride [2 2] and padding [0 0 0 0]
20	Encoder-Stage-4	Conv.	Conv.	Conv.	Conv.	Conv.	Conv.	512 3×3 convolutions stride [1 1] and padding 'same'
21	Activation Fun.	ReLU	ReLU	ReLU	Leaky ReLU	Leaky ReLU	Leaky ReLU	Leaky ReLU with scale 0.01
22	Encoder-Stage-4	Conv.	Conv.	Conv.	Conv.	Conv.	Conv.	512 3×3 convolutions stride [1 1] and padding 'same'
23	Activation Fun.	ReLU	ReLU	ReLU	Leaky ReLU	Leaky ReLU	Leaky ReLU	Leaky ReLU with scale 0.01
24	BN	-	-	BN	BN	BN	BN	Batch normalization
25	Encoder-Stage-4	Dropout	Dropout	Dropout	Dropout	Dropout	Dropout	50% dropout
26	Encoder-Stage-4	Pooling	Pooling	Pooling	Pooling	Pooling	Pooling	2×2 max pooling stride [2 2] and padding [0 0 0 0]
27	Bridge	Conv.	Conv.	Conv.	Conv.	Conv.	Conv.	1024 3×3 convolutions stride [1 1] and padding 'same'
28	Activation Fun.	ReLU	ReLU	ReLU	Leaky ReLU	Leaky ReLU	Leaky ReLU	Leaky ReLU with scale 0.01
29	Bridge	Conv.	Conv.	Conv.	Conv.	Conv.	Conv.	1024 3×3 convolutions stride [1 1] and padding 'same'
30	Activation Fun.	ReLU	ReLU	ReLU	Leaky ReLU	Leaky ReLU	Leaky ReLU	Leaky ReLU with scale 0.01
31	Bridge	Dropout	Dropout	Dropout	Dropout	Dropout	Dropout	50% dropout
32	Decoder-Stage-1	Transposed Conv.	Transposed Conv.	Transposed Conv.	Transposed Conv.	Transposed Conv.	Transposed Conv.	512 2×2 transposed convolutions stride [2 2] and cropping [0 0 0 0]

33	Activation Fun.	ReLU	ReLU	ReLU	Leaky ReLU	Leaky ReLU	Leaky ReLU	Leaky ReLU with scale 0.01
34	Decoder-Stage-1	Depth Concat.	Depth Concat.	Depth Concat.	Depth Concat.	Depth Concat.	Depth Concat.	Depth concatenation of 2 inputs
35	Decoder-Stage-1	Conv.	Conv.	Conv.	Conv.	Conv.	Conv.	512 3×3 convolutions stride [1 1] and padding 'same'
36	Activation Fun.	ReLU	ReLU	ReLU	Leaky ReLU	Leaky ReLU	Leaky ReLU	Leaky ReLU with scale 0.01
37	Decoder-Stage-1	Conv.	Conv.	Conv.	Conv.	Conv.	Conv.	512 3×3 convolutions stride [1 1] and padding 'same'
38	Activation Fun.	ReLU	ReLU	ReLU	Leaky ReLU	Leaky ReLU	Leaky ReLU	Leaky ReLU with scale 0.01
39	Decoder-Stage-2	Transposed Conv.	Transposed Conv.	Transposed Conv.	Transposed Conv.	Transposed Conv.	Transposed Conv.	256 2×2 transposed convolutions stride [2 2] and cropping [0 0 0 0]
40	Activation Fun.	ReLU	ReLU	ReLU	Leaky ReLU	Leaky ReLU	Leaky ReLU	Leaky ReLU with scale 0.01
41	Decoder-Stage-2	Depth Concat.	Depth Concat.	Depth Concat.	Depth Concat.	Depth Concat.	Depth Concat.	Depth concatenation of 2 inputs
42	Decoder-Stage-2	Conv.	Conv.	Conv.	Conv.	Conv.	Conv.	256 3×3 convolutions stride [1 1] and padding 'same'
43	Activation Fun.	ReLU	ReLU	ReLU	Leaky ReLU	Leaky ReLU	Leaky ReLU	Leaky ReLU with scale 0.01
44	Decoder-Stage-2	Conv.	Conv.	Conv.	Conv.	Conv.	Conv.	256 3×3 convolutions stride [1 1] and padding 'same'
45	Activation Fun.	ReLU	ReLU	ReLU	Leaky ReLU	Leaky ReLU	Leaky ReLU	Leaky ReLU with scale 0.01
46	Decoder-Stage-3	Transposed Conv.	Transposed Conv.	Transposed Conv.	Transposed Conv.	Transposed Conv.	Transposed Conv.	128 2×2 transposed convolutions stride [2 2] and cropping [0 0 0 0]
47	Activation Fun.	ReLU	ReLU	ReLU	Leaky ReLU	Leaky ReLU	Leaky ReLU	Leaky ReLU with scale 0.01
48	Decoder-Stage-3	Depth Concat.	Depth Concat.	Depth Concat.	Depth Concat.	Depth Concat.	Depth Concat.	Depth concatenation of 2 inputs
49	Decoder-Stage-3	Conv.	Conv.	Conv.	Conv.	Conv.	Conv.	128 3×3 convolutions stride [1 1] and padding 'same'
50	Activation Fun.	ReLU	ReLU	ReLU	Leaky ReLU	Leaky ReLU	Leaky ReLU	Leaky ReLU with scale 0.01
51	Decoder-Stage-3	Conv.	Conv.	Conv.	Conv.	Conv.	Conv.	128 3×3 convolutions stride [1 1] and padding 'same'
52	Activation Fun.	ReLU	ReLU	ReLU	Leaky ReLU	Leaky ReLU	Leaky ReLU	Leaky ReLU with scale 0.01
53	Decoder-Stage-4	Transposed Conv.	Transposed Conv.	Transposed Conv.	Transposed Conv.	Transposed Conv.	Transposed Conv.	64 2×2 transposed convolutions stride [2 2] and cropping [0 0 0 0]
54	Activation Fun.	ReLU	ReLU	ReLU	Leaky ReLU	Leaky ReLU	Leaky ReLU	Leaky ReLU with scale 0.01
55	Decoder-Stage-4	Depth Concat.	Depth Concat.	Depth Concat.	Depth Concat.	Depth Concat.	Depth Concat.	Depth concatenation of 2 inputs
56	Decoder-Stage-4	Conv.	Conv.	Conv.	Conv.	Conv.	Conv.	64 3×3 convolutions stride [1 1] and padding 'same'
57	Activation Fun.	ReLU	ReLU	ReLU	Leaky ReLU	Leaky ReLU	Leaky ReLU	Leaky ReLU with scale 0.01
58	Decoder-Stage-4	Conv.	Conv.	Conv.	Conv.	Conv.	Conv.	64 3×3 convolutions stride [1 1] and padding 'same'
59	Activation Fun.	ReLU	ReLU	ReLU	Leaky ReLU	Leaky ReLU	Leaky ReLU	Leaky ReLU with scale 0.01
60	Final-Conv.	Conv.	Conv.	Conv.	Conv.	Conv.	Conv.	2 1×1 convolutions stride [1 1] and padding 'same'
61	Activation Fun.	Sigmoid	Softmax	Softmax	Softmax	Softmax	Softmax	Softmax
62	Dice							Dice Pixel Classification Layer

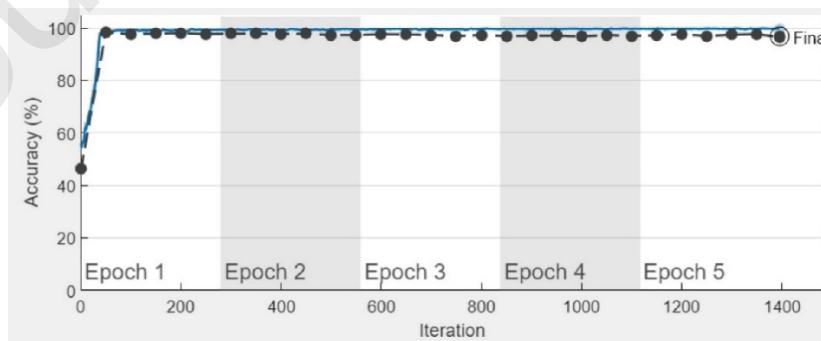
### 3.2. Implementation

We use the deep network designer of MATLAB 2021b (MathWorks Inc., Natick, MA) to train, validate, and test our proposed LAIU-Net in an Intel(R) Core(TM) i7-7700HQ CPU 2.81 GHz

with 32 GB of RAM and 8 GB of VRAM. We resized our original image of size  $6000 \times 4000 \times 3$  pixels to  $256 \times 256 \times 3$  pixels before feeding it to our deep model. Then we employed “imresize” function of MATLAB (it uses bicubic interpolation) to resize our original image to  $256 \times 256 \times 3$  pixels before feeding it to our deep model. We used the stochastic gradient descent with momentum (SGDM) as an optimizer with a maximum epoch of 5, minimum batch size of 5, a momentum of 0.9, an initial learning rate of 0.001, and dropout  $p = 0.5$ . We used approximately 80% of the photographic tongue data for training and validation and approximately 20% (68 images) of the data for testing. We made sure that images from a particular patient is not split between the training, validation, and test sets. We also show curves for train and validation accuracy vs. iteration for the network training duration for the task of tongue image segmentation in Figure 8.

#### 4. Results and Discussion

We provide a comparative tongue image segmentation performance of our LAIU-Net and 5 different configurations of U-Net architectures. We can see in Table 2 that configuration I uses sigmoid activation. On the other hand, configuration II uses SoftMax activation. In configuration III, 4 batch normalization layers have been added to the U-Net. In configuration IV, leaky ReLU is used instead of ReLU. As conventional data augmentation methods, we performed a rotation of  $90^\circ$  and a vertical flip of images in configuration V. The proposed LAIU-Net incorporates a learning-to-augment strategy in addition to the mentioned data augmentation. The proposed LAIU-Net uses the best set of parameters for the Gaussian, speckle, and Poisson noises to be applied to original photographic tongue images for data augmentation. These best sets of noise parameters are chosen as the best policy by the learning-to-augment strategy.



**Figure 8.** Accuracy curves of the LAIU-Net training and validation for the tongue image segmentation.

##### 4.1. Quantitative Performance Comparison

At first, we show the confusion matrices of the tongue image segmentation mask by the proposed LAIU-Net and other U-Net configurations in Figure 9. In the matrix cells, we show the number of pixels count that fall inside either predicted tongue or background classes. We see in Figure 9 that the number of pixels representing the tongue body is much less than that of the background. So, there is a class imbalance in the dataset, and therefore, IoU and BF Score are the most suitable metrics to evaluate the performance of this study. We also plot the mean IoU and mean BF Score of the segmented tongue by different methods in Figure 10. Here, we see that the mean IoU (91.5%) and mean BF Score (93.1%) by the proposed LAIU-Net are the best compared to that by other techniques.

		Predicted		
		Tongue	Background	
Actual	Tongue	56149	14321	79.68%
	Background	19511	4366467	99.56%
		74.21%	99.67%	

U-Net with Configuration I

		Predicted		
		Tongue	Background	
Actual	Tongue	59011	11459	83.74%
	Background	21671	4364307	99.51%
		73.14%	99.74%	

U-Net with Configuration II

		Predicted		
		Tongue	Background	
Actual	Tongue	56256	14214	79.83%
	Background	10481	4375497	99.76%
		84.30%	99.68%	

U-Net with Configuration III

		Predicted		
		Tongue	Background	
Actual	Tongue	56639	13831	80.37%
	Background	10004	4375974	99.77%
		84.99%	99.68%	

U-Net with Configuration IV

		Predicted		
		Tongue	Background	
Actual	Tongue	61116	9354	86.73%
	Background	9260	4376718	99.79%
		86.84%	99.79%	

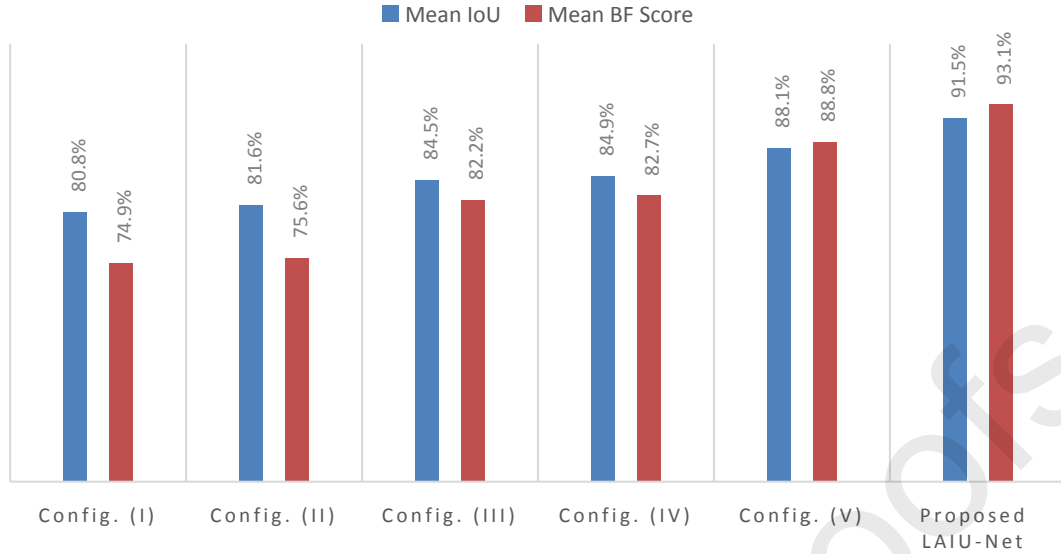
U-Net with Configuration V

		Predicted		
		Tongue	Background	
Actual	Tongue	65476	4994	92.91%
	Background	8059	4377919	99.82%
		89.04%	99.89%	

The proposed LAIU-Net

**Figure 9.** Confusion matrices showing the tongue image segmentation performance by different methods.





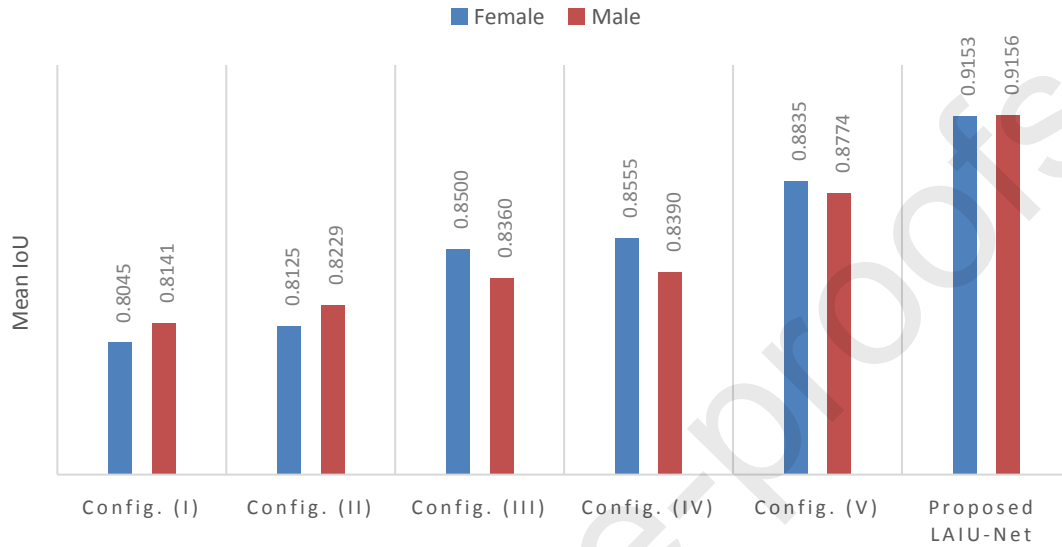
**Figure 10.** Tongue semantic segmentation performance by different methods. Acronym-Config: Configuration.

We show the semantic segmentation performance of different methods for two positive classes (i.e., tongue and background) in terms of IoU and mean BF Score in Table 3. At first, we considered “tongue” as the positive class and “background” as the negative class. We see in Table 3 that the proposed LAIU-Net performed the best in tongue segmentation in terms of IoU (83.38%) and mean BF Score (87.80%) compared to other configurations of U-Net. The closest performance is shown by the U-Net with configuration IV. Similarly, when “background” is considered as the positive class, the proposed LAIU-Net showed the best performance in terms of IoU (99.70%) and mean BF Score (98.37%).

**Table 3.** Evaluating the performance of segmentation with deferent positive classes

Method	Positive class	IoU (%)	Mean BF Score (%)
U-Net with Configuration I	Tongue	0.6240	0.5727
	Background	0.9923	0.9262
U-Net with Configuration II	Tongue	0.6404	0.5936
	Background	0.9925	0.9176
U-Net with Configuration III	Tongue	0.6949	0.6911
	Background	0.9944	0.9526
U-Net with Configuration IV	Tongue	0.7038	0.7009
	Background	0.9946	0.9529
U-Net with Configuration V	Tongue	0.7665	0.8056
	Background	0.9958	0.9701
Proposed LAIU-Net	Tongue	0.8338	0.8780
	Background	0.9970	0.9837

In Figure 11, we show mean IoU by different methods on male (26 images) and female (42 images) patients separately to see the effect of gender on the semantic segmentation performance. As we see in Figure 11 that there is no significant difference in segmentation performance on the basis of gender.



**Figure 11.** The semantic segmentation performance of different methods separately on male (26 images) and female (42 images) in terms of mean IoU.

#### 4.2. Qualitative Performance Comparison

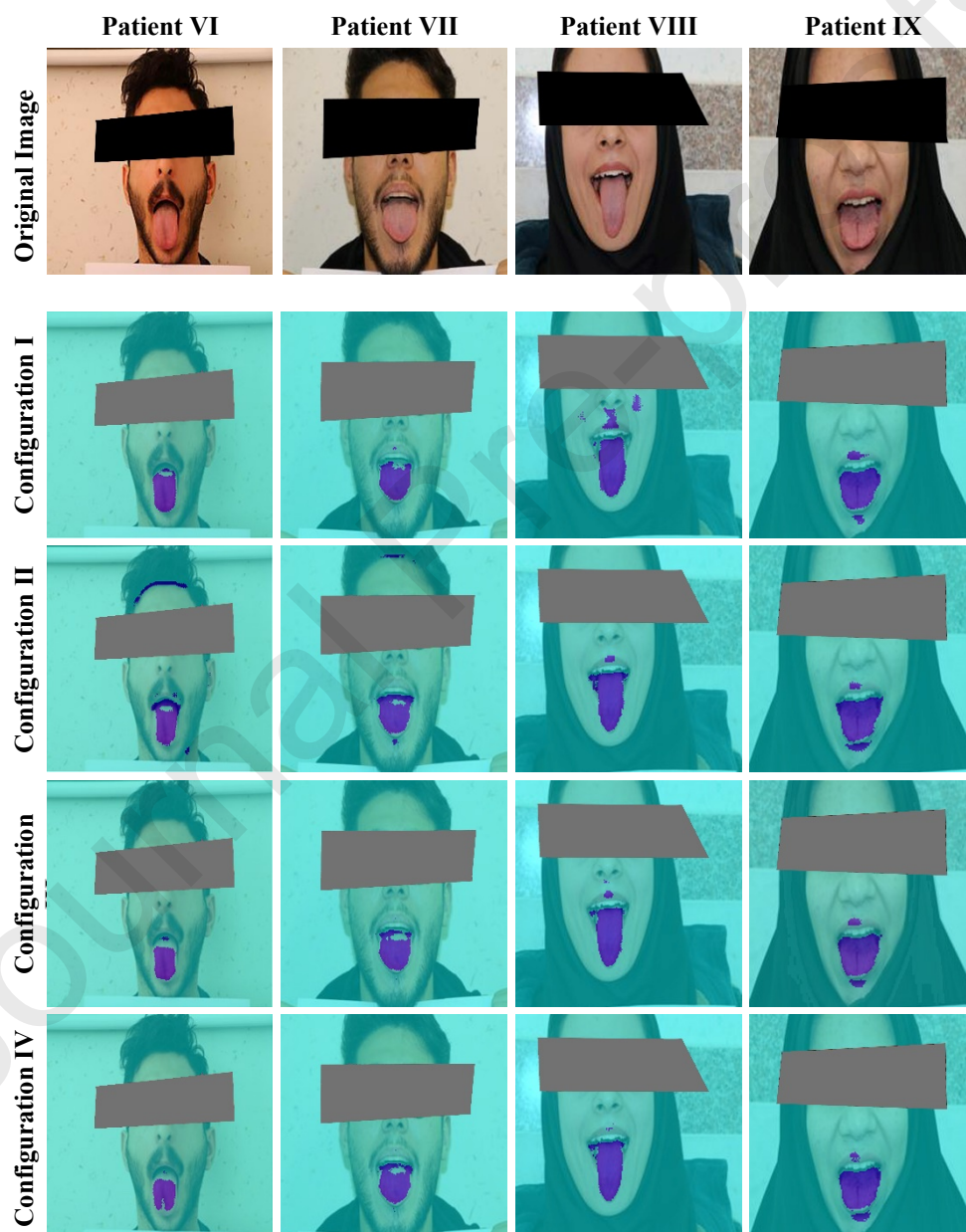
Finally, we show the qualitative tongue segmentation performance by the proposed LAIU-Net and other U-Net-based techniques in

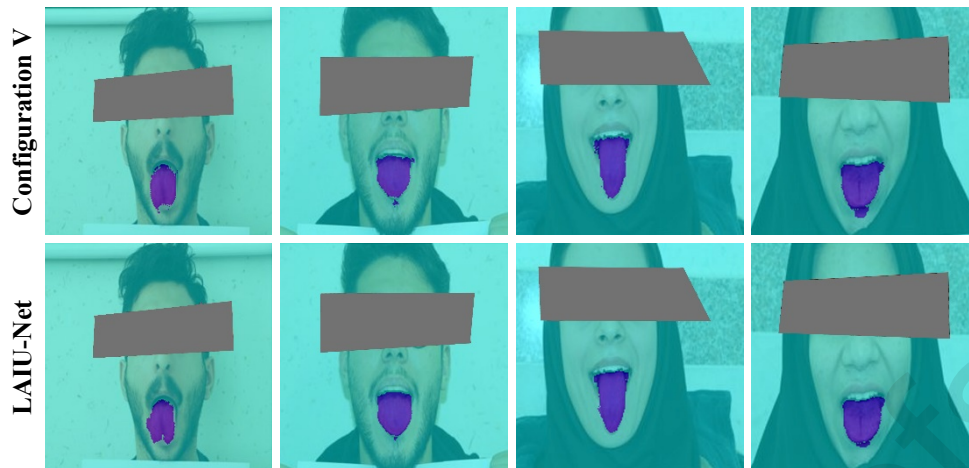
Figure 12. We see in this figure that the tongue masks produced by the proposed LAIU-Net are the best compared to that by other U-Net configurations.

### 5. Conclusion

In this paper, we proposed the first learning-to-augment strategy-incorporated robust and generalized U-Net architecture for photographic tongue image segmentation. We produced training optimal augmented images via a learning-to-augment strategy that uses the Bayesian optimizer to choose the best set of policies for data augmentation via selecting the optimized Gaussian, speckle, and Poisson noise parameters. We showed the efficacy of our proposed learning-to-augment strategy-incorporated U-Net on the depressed human's tongue segmentation task and demonstrated the best performance in terms of IoU and mean BF Score compared to other U-Net configurations. In addition, we built a photographic tongue dataset consisting of 333 human tongue images of 111 patients diagnosed with depression. Despite

promising results, our study has several limitations. For example, our dataset is small which hinders us to study the effect of sex on tongue segmentation performance. In addition, the age distribution of our patients is not uniform which further hinders us to study the effect of age on tongue segmentation performance. Therefore, in the future, we aim to extend this work with additional experiments after collecting more tongue images from depressed as well as healthy human subjects.





**Figure 12.** Semantic tongue segmentation performance by different methods.

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### Highlights

1. We proposed the first learning-to-augment strategy-incorporated robust and generalized U-Net architecture for photographic tongue image segmentation.
2. We produced training optimal augmented images via selecting the optimized Gaussian, speckle, and Poisson noise parameters.
3. We showed the efficacy of our proposed learning-to-augment strategy-incorporated U-Net on the depressed human's tongue segmentation task.

### Author Statement

All persons who meet authorship criteria are listed as authors, and all authors certify that they have participated sufficiently in the work to take public responsibility for the content, including participation in the concept, design, analysis, writing, or revision of the manuscript.

Sincerely ,

Mahmoud Marhamati, Ali Asghar Latifi Zadeh, Mohammad Arafat Hussain, Kalegh Jafarnezhad, Ahad Jafarnezhad, Masoud Mojdehi far, Mehdi Bakhtoor, Mohammad Momeny

### Conflict of Interest

The authors have declared no conflict of interest.

Sincerely ,

Mahmoud Marhamati, Ali Asghar Latifi Zadeh, Mohammad Arafat Hussain, Kalegh Jafarnezhad, Ahad Jafarnezhad, Masoud Mojdehi far, Mehdi Bakhtoor, Mohammad Momeny